

**Welcome to BMO InvestorLine** **ESP CO-SUBSCRIBER ACCOUNT INFORMATION**

With the exception of accounts operating in the Province of Quebec, all BMO InvestorLine joint accounts are Joint With Rights of Survivorship. Please refer to the Client Agreements for details.

**A TELL US ABOUT THE CO-SUBSCRIBER**

For ESPs only a spouse or common-law partner may be a Co-subscriber.

Citizenship  Country of Residence

Dual Citizenship (if applicable)

**U.S. persons will need to complete a W-9 form. Please contact BMO InvestorLine or visit our website for this form.**

Title  Last Name  First Name

**Please enter your name exactly as it appears on your government-issued photo ID.**

Primary residence address  Suite No.   
**(No., street, P.O. Box address is not allowed)**

City or Town  Prov.  Postal Code

Primary Phone (area code, no.)  Secondary Phone (area code, no.)  Ext.

Fax No.  Other Daytime Phone  Email

Mailing Address if different from above  Suite No.

City or Town  Prov.  Postal Code  Marital Status

No. of Dependents  Date of Birth (YY/MM/DD)  SIN

Employment Status  Full-time (30 hours or more per week)  Part-time (Less than 30 hours per week)  Self employed  Unemployed  
 Retired (Please provide your last: occupation, employer's name, industry, city and prov.  Casual/Contract  Seasonal

Occupation

Employer Name  Industry

Employer's Address (number, street)  Suite No.

City or Town  Prov.  Postal Code

Employer's Phone Number

**If opening a joint account, please complete the "ESP Co-subscriber Account Information" form.**

Are you currently employed by?  BMO Financial Group  BMO Nesbitt Burns  BMO InvestorLine  None of the above

If applicable, please provide Employee Identification Number (EIN)

Are you, or any member of your immediate family, currently fulfilling, or have fulfilled, a Politically Exposed Person (PEP) position? Examples of PEP roles (list is not exhaustive): Government official, a high-ranked military officer, employed by an Embassy, the head of a government agency, president of a state-owned company or bank, a judge or a leader of a political party?

No  Yes (if yes, please complete the following information below)

Domestic  Foreign / International Organization Position / Title

**B FINANCIAL information**

Please round to the nearest dollar.

Annual Income from all sources

Net Liquid Assets (A)  
(Cash & Securities less loans outstanding against securities)

Net fixed Assets (B)  
(Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C)  
(C=A+B)

Source of Annual Income  Employment income  Student loans/bursaries or RESP  Unemployment benefits  Social assistance  Alimony (spousal support)  
 Retirement income  Inheritance  Real estate investment  Investment in securities  Other

Funding Your Account  Saving of employment income  Real estate investment  Inheritance  
 Investment in securities  Gifts  Other

Intended use of the Account  Short Term Investment  Long Term Investment  Income Generation  Savings  
 Retirement Savings  Education Savings  Estate Planning  Other

Please provide your BMO Banking Information (if applicable).

BMO Transit Number  BMO Account Number

BMO Bank Address

Address Continued

**C FOR OPTIONS account applications**

**CO-SUBSCRIBER'S INFORMATION**

- 1. Number of years trading in options: \_\_\_\_\_
- 2. How would you describe your options trading knowledge?:  Expert  Knowledgeable  Limited  None
- 3. Experience with:  None  Long Calls or Puts  Covered  Naked  Spreads

I have received the Risk Disclosure Statement for Futures and Options (Section Three, Part G of the Client Agreements). I understand the special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate.

Co-Subscriber's Signature \_\_\_\_\_ Date YY/MM/DD 

Y	Y	M	M	D	D
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**D PLEASE PROVIDE DETAILS if you answer YES to the following questions**

**CO-SUBSCRIBER'S INFORMATION**

- 1. Are you, or your spouse/common-law partner:
  - a. An insider, director or senior officer (i.e. an officer or one of the five highest paid employees) of a publicly traded (exchange or over-the-counter) company or affiliate of such a company? Or Individually, or as part of a group, own more than 10% of the voting rights attached to all voting securities?
    - Yes
    - No Company Name(s): \_\_\_\_\_
  - If yes, are you a Reporting Insider under Canadian securities legislation?
    - Yes
    - No Company Name(s): \_\_\_\_\_
  - b. Separately or in combination with other persons, a holder of more than 20% of the outstanding voting securities of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
    - Yes
    - No Company Name(s): \_\_\_\_\_
  - c. Individually, or as part of a group, a member with controlling interest in a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
    - Yes
    - No Company Name(s): \_\_\_\_\_
- 2. Do you have, or exercise authority over, any brokerage accounts with other financial institutions?
  - Yes Financial institution(s): \_\_\_\_\_
  - No Account Type: \_\_\_\_\_ Account Type: \_\_\_\_\_
- 3. Do you have, or exercise authority over, any accounts with BMO InvestorLine?
  - Yes
  - No Account #1: \_\_\_\_\_ Account #2: \_\_\_\_\_
- 4. Will any other person have authority over, or any financial interest in, your account(s)? If another person will have authority over your account(s), please complete our "Authorized Trading Agent or Power of Attorney" form
  - Yes
  - No Name: \_\_\_\_\_ If yes \_\_\_\_\_% of Financial Interest
- 5. Will anyone other than yourself use or direct transactions in this account? This excludes those authorized to give instructions about the account, i.e., Joint Account Holder, Trading Agent, Power of Attorney and Trustee.
  - Yes If yes, please complete the "Third Party Information" form. Please contact BMO InvestorLine for this form.
  - No

**NATIONAL INSTRUMENT 54-101 – SHAREHOLDER COMMUNICATION INFORMATION**

We are required by securities law to obtain instructions concerning the various matters below relating to your holding of securities in your account:

**Part 1** – Disclosure of Beneficial Ownership of Information

**Part 2** – Receiving Shareholder Materials

**Part 3** – Preferred Language of Communication

**Part 4** – Consent to Electronic Delivery

Please read the National Instrument 54-101, Communication with Beneficial Owners of Securities of a Reporting Issuer, in Section Four, Part C of the Client Agreements. Please discuss your options with the beneficial owner(s) on the account(s) and provide your instructions on the Account Application Form.

**ORDER EXECUTION ONLY ACCOUNT ACKNOWLEDGEMENT**

I acknowledge that BNO InvestorLine does not give personal or client specific or tailored investment advice or recommendation to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transaction. I acknowledge that I am responsible for any investment decisions as well as for any profits or losses that may result. I further acknowledge that it is my obligation to comply with the requirements of the Toronto Stock Exchange respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the Toronto Stock Exchange.

Co-Subscriber's  
Signature \_\_\_\_\_

Date  
YY/MM/DD 

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**ESP INFORMATION REPORTING**

Information contained on this application, as well as amounts contributions and amounts of the plan, may be shared with the custodial parent. Information will also be provided to Human Resources and Social Development Canada, the federal government department responsible for the Canada Revenue Agency for taxation purposes.

**SHARED PREMISES DISCLOSURE**

I/we acknowledge that, for my/our securities transactions, I/we am/will be dealing with BMO InvestorLine Inc., a Member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member of the Canadian Investor Protection Fund (CIPF).

BMO InvestorLine Inc. may share office space with the following separate but affiliated entities:

- Bank of Montreal offering banking and financial services.
- BMO Investments Inc., a subsidiary of Bank of Montreal Holding Inc., offering mutual fund products by registered mutual fund representatives, and in Quebec, by registered financial planners.
- BMO Nesbitt Burns Inc., a wholly owned subsidiary of BMO Bank of Montreal offering full service advisory services.
- Nesbitt Burns Securities Limited, a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering services to US residents as a US registered Broker-Dealer and Investment Adviser.
- BMO Estate Insurance Advisory Services Inc., a wholly owned subsidiary of BMO Nesbitt Burns offering insurance products by licensed life insurance agents, and in Quebec, by financial security advisors.
- BMO Private Investment Counsel Inc., a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering trading and advising in securities and derivatives by registered individuals.
- BMO Trust Company, a wholly owned subsidiary of Bank of Montreal, offering estate, trust, planning and custodial services.

I/we acknowledge that I/we have read and understood the disclosure, and that I/we understand that these are shared premises.

Co-Subscriber's  
Signature \_\_\_\_\_

Date  
YY/MM/DD 

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**PROTECTION OF YOUR PRIVACY**

(Arrangements will be based on the Subscriber's instructions.)

We are committed to protecting all of the personal information you share with us in order to maintain your privacy. It is our top priority to respect and uphold your need for confidentiality. The information we gather is used to verify your identity and protect you and BMO InvestorLine against fraud, to set up and manage products and services you have requested and to satisfy the regulatory obligations of our industry.

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your information for the purpose of, but not limited to, the requirement to: identify you, provide ongoing service, understand your financial needs, protect us both from fraud and error, comply with legal and regulatory requirements, and market products and services to you.

By signing below, I acknowledge that from time to time, BMO InvestorLine may send me information, including direct marketing messages, in order to better understand my needs and make me aware of appropriate products and services. I am also aware that my SIN may be used for administrative and tax reporting purposes.

I may change my preferences stated above at any time by contacting BMO InvestorLine. The servicing of my account as per this agreement is in no way conditional or dependent on my preferences. I acknowledge that I cannot opt out of sharing my personal information where I have requested a product or service that is offered jointly by BMO InvestorLine and another member of BMO Financial Group.

Co-Subscriber's  
Signature \_\_\_\_\_

Date  
YY/MM/DD 

Y	Y	M	M	D	D
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I hereby apply for a BMO InvestorLine Education Savings Plan (the Plan) in accordance with the Terms and Conditions set out in the Customer Agreements (<https://www.bmoinvestorline.com/adviceDirect/pdfs/CustomerAgreementBooklet.pdf>). I have read, understood, and agree to be bound by such Terms and Conditions as Co-subscriber. I request that the promoter applies to have the Plan registered under the provisions of the Income Tax Act (Canada) and any applicable provincial legislation in my province of residence set out above. I understand that the contributions to the Plan are not tax deductible and that any amounts paid out of the Plan, other than refunds of contributions, may be subject to income tax. I am aware that a penalty tax may apply where contributions for a Beneficiary made to this Plan and to other RESPs, by myself and by others as Subscribers, exceed the "cumulative RESP limit" for the Beneficiary. I certify that the information in this application is true and complete and I agree to the terms and conditions as outlined in the Client Agreements. I also agree to advise you immediately in writing of any material change in information.

Co-Subscriber's  
Signature \_\_\_\_\_

Date  
YY/MM/DD 

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Please provide a verified photocopy of 1 piece of Federal, Provincial or Territorial government issued photo ID.